

Certificate of Mailing / Transmission (37 C.F.R. 1.8(a))

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Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Petition, Assistant Commissioner for Patents, Washington, D.C. 20231.

In the event a fee is required for the filing of the attached document(s) or in implementing the addition of new claims or any claim amendments, and the required fee is not submitted or the fee submitted is incorrect, the Commissioner is hereby authorized to charge any additional fees to effect the filing of this document(s) or credit any overpayment under 37 CFR 1.16 and 1.17 to Account No. 50-0983.

Transmitted by facsimile to the Patent & Trademark Office

Signature

29 Agul Is

Louis F. Wagner

29 April 2003

(type or print name of person certifying)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:

Stevenson et al.

Examiner:

Thexton, Matthew

Serial #:

10/086,619

Art Unit:

1714

Filing Date:

01 March 2002

Date:

29 April 2003

Title:

Phosphite Ester Additive Compounds

Box Petition Assistant Commissioner for Patents Washington, D.C. 20231 RECEIVED

JUN 0 9 2003

OFFICE OF PETITIONS

Petition for Correction of Filing Date of Provisional Application

Statement of Facts Involved

U.S. patent application Serial Number 10/086,619 claims priority from three provisional patent applications:

60/273,303 (filing date 3/2/2001);

60/314,181 (filing date 8/16/2001 incorrectly listed as 8/15/2001); and

60/315,746 (filing date 8/29/2001).

The Office correctly noted that the filing date of the middle provisional application was incorrect in the declaration which was signed by all inventors.

At the time of the filing of the instant application, the assignee of the patent application, Dover Chemical Corp., was in the process of transferring Intellectual Property work from one law firm to the law firm of the applicant. This law firm did not

have all of the files associated with this matter in its presence as of the filing date of the instant application.

Point to be Reviewed

Applicant petitions for entry of the following accompanying papers with respect to the priority claim in this case.

(1) Declaration containing the priority claim with corrected filing date to provisional patent application 60/314,181.

The date associated with the provisional patent application should correctly be listed as August 16, 2001, but was incorrectly listed as August 15, 2001 on the declaration signed by the inventors. This was an inadvertent error, and was without deceptive intent, in that the return postcard was relied upon which showed an incorrect handwritten date. At the time of the filing of this patent application, a previous law firm was in the process of transferring the file and while the receipt date by the USPTO showed August 16, 2001, there was no way of verifying which date was correct.

It is respectfully submitted that this inadvertent error was with deceptive intent, and will not require the Office to perform any additional work in that the first filed provisional application was correctly identified as was a later filed provisional application. Additionally, the middle provisional application was correctly identified by serial number.

The Petition fee 37 C.F.R. §1.17(i) of \$130 is herein to be paid by the accompanying check.

Action Requested

Applicant petitions for entry of the attached declaration which correctly identifies the filing dates of all provisional patent applications. Applicant respectfully petitions for the issuance of a corrected filing receipt.

Proposed Full Response

A full and complete response is being filed with the office action which issued on December 2, 2002, into which this petition is attached. Additionally, the attached declaration is hereby requested to be entered into the case.

Deposit Account

The Commissioner is hereby authorized to charge any additional fee required to effect the filing of this document to Account No. 50-0983.

The entry of the correct provisional patent application filing date for serial no. 60/314,181 is earnestly solicited.

Respectfully Submitted, Buckingham, Doolittle & Burroughs, LLP

Louis F. Wagner

LWagner@bdblaw.com Registration No.: 35,730

Buckingham, Doolittle & Burroughs, LLP 50 S. Main St. P.O. Box 1500 Akron, Ohio 44309-1500 (330) 258-6453 (telephone) (330) 252-5452 (fax) Attorney Docket #: 47399.0015

Approved for use through 04/30/2003. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number Act of 1995, no persons are required to respond to a Application Number 10/086.619 Filing Date 01 March 2002 TRANSMITTAL First Named Inventor **FORM** Stevenson, et al.

Art Unit

Examiner Name

Thexton, Matthew

(to be used for all correspondence after initial filing)

This Submission	8 Attorney Docket Number 47399-0015
Total Number of Pages in This Submission	
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Copy of return postcard Copy of Filing Receipt Return postcard
SIGN	TURE OF APPLICANT, ATTORNEY, OR AGENT
Firm Louis F. Wagner, Esq. Individual	
Signature	
Date April 29, 2003	
	CERTIFICATE OF TRANSMISSION/MAILING
I hereby certify that this correspondence is being first class mail in an envelope addressed to: Co.	facsimile transmitted to the USPTO or deposited with the United <u>States Postal Service with sufficient postage</u> as unissioner for Patents, Washington, DC 20231 on this date: April 29, 2003
Typed or printed Louis F. Wagn	er, Esq.
	Date April 29, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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PTO/SB/21 (01-03)



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Certificate of Mailing / Transmission (37 C.F.R. 1.8(a))

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FACSIMILE

Transmitted by facsimile to the Patent & Trademark Office

Signature
Louis F. Wagner

Signature

May 29, 2003

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TC 1700

(type or print name of person certifying)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	Stevenson, et al.	Attorney Docket:	47399-0015	
Application No.:	10/086,619	Customer No:	24115	
Filed:	01 March 2002	Date:	29 May 2003	-
Title:	Phosphite Ester Additive	Compositions		

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL

Transmitted herewith:

- 1) Patent Application Fee Determination Record
- 2) Fee Transmittal for FY 2003
- 3) Fee by check (\$930)
- 4) Amendment A
- 5) Copy of Petition for Correction of Filing Date of Provisional Application filed April 29, 2003
- 6) Return postcard

Respectfully submitted

Buckingham Doolittle & Burroughs, LLP

Louis F. Wagner LWagner@bdblaw.com Registration No.: 35,780

Buckingham, Doolittle & Burroughs, LLP 50 S. Main St. P.O. Box 1500 Akron, Ohio 44309-1500 (330) 258-6453 (tel) (330) 252-5452 (fax) «AK3:640298 1»

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1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 ** Reissue independent claims	1810	750	2810 3	75 For each examine		nal invention FR 1.129(b)			
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**or number previously paid, if greater; For Reissues, see above	"Red	uced by	Basic Filing	Fee Paid	S	UBTOTAL	. (3) (\$)	930.00	
SUBMITTED BY						(Complete (if applicable))
Name (Print/Type) Louis F. Wagner		Registra (Attornev		35,730		Telephone	330-258-6453	3	
Signature						Date	29 May 2003]

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April 29, 2003 Date:

File: 48399-0015

The stamp of the Patent Office hereon may be taken as acknowledging receipt on the date stamped of:

PETITION FOR CORRECTION OF FILING DATE OF PROVISIONAL APPLICATION

In the following application:

Ser. No:

10/086,619

Applicant: For

Stevenson, Don R. et al.

Phosphite Ester Additive Compositions

LFW/dI

Enclosures: Transmittal, including Certificate of Mailing; Fee Transmittal (fee by check \$130); Petition for Correction of Filing Date of Provisional Application; copy of return postcard; copy of Filing Receipt; Declaration and Power of Attorney; and return postcard.

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PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
brik Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

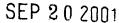
EEF TOANG		Complete if Known				
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for EV	2002	Filing Date	01 March 2002			
for FY		First Named Inventor	Stevenson, et al.			
Effective 01/01: 2003. Patent fees are s		Examiner Name	Thexton, Matthew			
Applicant claims small entity status.	See 37 CFR 1.27	Art Unit	1714			
TOTAL AMOUNT OF PAYMENT	(\$) 130.00	Attorney Docket No.	47399-0015			

METHOD OF PAYMENT (check all that apply)				FEE	ECALCULATION (continued)	
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Number Deposit	1051	50	2051		Surcharge - late provisional filing fee or	
Account	1032	30	2032		cover sheet	
Name The Commissioner is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812		1812		For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1804	920°	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.			ł		Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	ì
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month	
Large Entity Small Entity	1253	930	2253		Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,450	2254	725	——————————————————————————————————————	
1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee	1401	320	2401		Notice of Appeal	\vdash
1003 520 2003 260 Plant filing fee	1402	320	2402		Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee	1403	280	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451		Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	5 Petition to revive - unavoidable	\vdash
	1453	1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,300	2501) Utility issue fee (or reissue)	
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Independent	1503	630	2503		5 Plant issue fee	100.00
Independent - 3** = X = 0.00 Claims Multiple Dependent	1460	130	1460		Petitions to the Commissioner	130.00
	1807	50	180		O Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	180	6 18	O Submission of Information Disclosure Stmt	\vdash
Code (\$) Code (\$)	8021	40	802	1 4	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	750	280	9 37	5 Filing a submission after final rejection	
1201 84 2201 42 Independent claims in excess of 3					(37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	281	0 37	5 For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	37	5 Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802			
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SUBTOTAL (2) (4)		•		Filing	Fee Paid SUBTOTAL (3) (\$)	130.00
•*or number previously paid, if greater; For Reissues, see above						

(Complete (if applicable) SUBMITTED BY Registration No. Telephone 330-258-6453 35,730 Name (Print/Type) Louis F. Wagner, Esq. April 29, 2003 Signature

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NT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov TOT CLAIMS IND CLAIMS

DRAWINGS ATTY.DOCKET.NO FIL FEE REC'D FILING DATE GRP ART UNIT APPLICATION NUMBER 150 5898-7-PRV 08/16/2001 60/314.181

CONFIRMATION NO. 7838✓

FILING RECEIPT

OC000000006567536

John D. DeLong Twin Oaks Estate 1225 West Market Street Akron, OH 44313-7188

Date Mailed: 09/18/2001

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Donald R. Stevenson, Dover, OH; Tom Jennings, Shaker Heights, OH; Mark Harr, New Philadelphia, OH;

If Required, Foreign Filing License Granted 09/17/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Phosphite ester additive compositions

Data entry by : DONG, OANH

Team: OIPE

Date: 09/18/2001

Attorney Docket No. 47399-0015

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

listed below) of the subject matter wi		STER ADDITIVE CO		
the specification of which	(check one)	☐ is attached hereto.	2002 as Appl	ication Serial No10/086,619 (if applicable).
I hereby state that I have reviewed a identified amendment referred to abo		ntents of the above-identi	fied specification, inc	cluding the claims, as amended by any
I acknowledge the duty to disclose in	formation which is m	aterial to the examination	of this application in	accordance with 37, CFR §1.56(a).
I hereby claim foreign priority benefit	its under 35, USC §11	9 of any foreign application	on(s) for patent or inv	entor's certificate listed below and have efore that of the application on which
Prior Foreign Application(s):				
CountryDY/MO/YR Filed	No	Country		No
I hereby claim the benefit under Titl matter of each of the claims of thi	le 35, United States C s application is not o wledge the duty to dis	Code, §120 of any United disclosed in the prior United sclose material information	States application(s) l ted States application as defined in 37, CF	listed below and, insofar as the subject n in the manner provided by the first R §1.56(a) which occurred between the
	er. No. 60/314,181 Y/MO/YR Filed 16/08/0 tatus: Pending	Ser. No. 60/ DY/MO/YR F Status: Pen	iled 29/08/01	Ser. No
I hereby appoint the following attorn Office connected herewith: Louis F 34,152. Address all telephone calls to: Loui Address all correspondence to: Custo	S. Wagner, Reg. No.	35,730 and David P. D		l business in the Patent and Trademark Place Customer No. Label Here
believed to be true; and further tha	t these statements w or both, under of 18	ere made with the knowle	dge that willful false	ts made on information and belief are e statements and the like so made are tents may jeopardize the validity of the
FIRST OR SOLE INVENTOR	SECOND IN	WENTOR	THIRD IN	VENTOR
Name: Donald R. Stevenson Residence (City) Dover (State/Country) Ohio. USA P.O. Address: 301 Oakdale Drive Dover, Ohio 44622 Citizenship: USA	Dover Residence (City) Shaker Heights			Mark E. Harr The (City) New Philadelphia Sountry) Ohio, USA The Stress: 739 3rd St. NW The Stress of St. NW The Stress of St. NW The Stress of St. NW
Signature: Inclusions Date: N-25-0-3		1/67/10 Pain	Signature Date:	1-25-03
FOURTH INVENTOR	FIFTH INVI	ENTOR	SIXTH IN	VENTOR
Name: Michael R. Jakupca Residence (City) Canton (State/Country) Ohio. USA P.O. Address: 810 37th St., N.W. Canton, Ohio 44709 Citizenship: USA	P.O. Addr	(City) untry) ess: p:	(State/Co	ce (City) ountry) dress:
Signature: 7 Wild C gar. Date: 4-29-63 «AK3:350841_1»	Signature:		Signature	PECEIV

PTC/SB/06 (05-03)
Approved for use through 4/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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	AL CLAIMS CFR 1.16(c))	41	minus 2	0= . 20	-		x \$ <u>'</u> =		OR	x \$18 =	378
	PENDENT CLAIMS CFR 1.16(b))	4	minus 2				x \$=		OR	x \$ <u>84</u> =	84
MUL	TIPLE DEPENDENT C	LAIM PRESEN	IT (3	7 CFR 1.16(d))			+ \$=		OR	+ \$=	-
* If t	he difference in colum	ın 1 is less tha	ın zero, en	ter "0" in column :	2.		TOTAL		OR	TOTAL	1,202
	CLAIN	/IS AS AME	ENDED	– PART II							
	(0	Column 1)		(Column 2)	(Column 3)		SMALL E	ENTITY	OR	OTHER SMALL	
ENT A	RI Section 1	CLAIMS EMAINING ÀFTER MENDMENT	1439 === 4-3-2-0 1	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
AMENDMENT	Total (37 CFR 1.16(c)) *4	1	Minus	** 41	= 0		x \$=		OR	x \$=	
IEN I	(37 CFR 1.16(b)) *		Minus	***4	= 0		x \$=		OR	x s=	
A	FIRST PRESENTATION	N OF MULTIPLE	E DEPENDE	NT CLAIM (37 CF	R 1.16(d))		+\$ =		OR	+\$ =/	,
						•	TOTAL ADD'L FEE		OR	TOTAL / ADD'L FEE	0
	(0	Column 1)		(Column 2)	(Column 3)		,		•	·	
ENT B	RE	CLAIMS EMAINING AFTER IENDMENT	150 - 140 150 - 150 150 - 150 - 1 150 - 150 - 150 150 - 150 - 150	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
MO	Total * (37 CFR 1.16(c))		Minus	**	=		_x s=		OR	x \$=	
AMENDMENT	Independent (37 CFR 1.16(b))		Minus	***	=		x \$=		OR	x \$=	
¥	FIRST PRESENTATION	N OF MULTIPLE	É DEPENDE	NT CLAIM (37 CF	R 1.16(d))		+s =		OR	+\$ =	
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	(C	Column 1)		(Column 2)	(Column 3)						
ENT C	RE AM	CLAIMS EMAINING AFTER IENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
M	Total * (37 CFR 1.16(c))		Minus	**	=		x s=		OR	x \$=	
AMENDMENT	independent (37 CFR 1.16(b))		Minus	***	=		x \$=		OR	x \$=	
¥	FIRST PRESENTATION	N OF MULTIPLE	E DEPENDE	NT CLAIM (37 CF	R 1.16(d))		+ \$=		OR	+ \$=	
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
	If the entry in column If the "Highest Numb						er "20"			·	

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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